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1 UNITED STATES DISTRICT COURT  
2 SOUTHERN DISTRICT OF NEW YORK  
3 -----x

4 UNITED STATES OF AMERICA,

5 v. 09 CR 962-02 (PAE)  
6 LIXANDER MORALES, Telephonic Re-Sentence

7 Defendant.  
8 -----x

9 New York, N.Y.  
10 February 9, 2021  
11 11:01 a.m.

12 Before:

13 HON. PAUL A. ENGELMAYER,

14 APPEARANCES VIA TELEPHONE  
15 AUDREY STRAUSS,  
16 United States Attorney for the  
17 Southern District of New York  
18 SCOTT A. HARTMAN  
19 Assistant United States Attorney  
20 EPSTEIN AND WEIL  
21 Attorney for Defendant  
22 BY: JUDITH H. WEIL  
23  
24  
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1 (The Court and all parties appearing telephonically)

2 THE COURT: Good morning. This is Judge Engelmayer.

3 Let me begin by asking my deputy if all counsel, the defendant  
4 and the court reporter are on the line?

5 THE DEPUTY CLERK: Good morning, Judge. That's  
6 correct. All are present. Ms. Rose Prater is our reporter  
7 again.

8 THE COURT: Very good. Then let me begin by calling  
9 the case. This is United States v. Morales, docket number  
10 09 CR 962. Who do I have for the government?

11 MR. HARTMAN: Good morning, your Honor. Scott Hartman  
12 for the government.

13 THE COURT: Very good. And, Mr. Hartman, is Ms. Estes  
14 joining you as well?

15 MR. HARTMAN: As far as I know, she's not, your Honor.

16 THE COURT: Okay. And who do I have for the  
17 defendant, Mr. Morales?

18 MS. WEIL: Judith Weil, your Honor, for Lloyd  
19 Epstein --

20 THE COURT: Very good.

21 MS. WEIL: -- and, Mr. Morales.

22 THE COURT: Good morning, Ms. Weil. Will you please  
23 pass along my best wishes to Mr. Epstein, who is very much in  
24 my thoughts.

25 MS. WEIL: My pleasure. Thank you, your Honor.

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1                   THE COURT: Mr. Morales, are you on the line?

2                   THE DEFENDANT: Yes, sir. I'm on the line.

3                   THE COURT: All right. Good morning to you.

4                   THE DEFENDANT: Good morning to you, too, sir.

5                   THE COURT: And is our court reporter, Ms. Prater, on  
6 the line?

7                   THE REPORTER: Yes, your Honor. I'm sorry, I was on  
8 mute. I'm here.

9                   THE COURT: Okay. Very good. Thank you for your  
10 service.

11                  All right. We are here today based on the joint  
12 application that the parties have brought with respect to the  
13 resentencing of Mr. Morales. The request is set out at the  
14 government's letter of December 10th, which is docketed at 76  
15 on the docket of this case.

16                  I am amenable to what the parties have recommended and  
17 will get to all that in a bit, but before we do, there are two  
18 preliminary procedural matters that I need to take care of.  
19 The first involves the fact that this proceeding, which is  
20 formally a resentencing, is being done remotely; therefore, I  
21 need to undertake a brief examination of counsel and the  
22 defendant, consistent with the CARES Act.

23                  So here goes. Counsel have heard this before. We're  
24 in the midst of the Covid 19 pandemic. I'm conducting this  
25 proceeding pursuant to the authority provided by section 15002

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1 of the CARES Act and the standing orders issued by our chief  
2 judge pursuant to that act.

3 Counsel and the defendant and the court are all  
4 participating telephonically. Nobody is participating in  
5 person. I will ask everybody, please, to refrain from  
6 interrupting unless you cannot hear what is being said and need  
7 to get my attention. In which case, I authorize you, of  
8 course, to do so. If you need to speak up, please always  
9 begin, preface your remarks with your name so that the court  
10 reporter can hear what you're saying.

11 I understand that the defendant cannot participate in  
12 person in the usual way today -- that is, by being physically  
13 present in the courtroom -- given all the restrictions on  
14 in-person proceedings that have been imposed because of Covid  
15 and the restrictions on prisoner movement that have been  
16 imposed because of Covid. In particular, I also understand  
17 that Mr. Morales is participating from Florida.

18 Ms. Weil, is all that correct?

19 MS. WEIL: Yes, your Honor. I believe it is.

20 THE COURT: Is it correct that Mr. Morales, as a  
21 practical matter, cannot participate in this proceeding in  
22 person even were we to try, and that to do so would create,  
23 even if permitted, adverse risk to the health of the trial  
24 participants?

25 MS. WEIL: That is my belief, your Honor.

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1                   THE COURT: All right. And, government, do you agree?

2                   MR. HARTMAN: Yes, your Honor, and I can speak to that  
3 a little bit. I spoke with folks at the facility in Florida  
4 and was told that even the prospect of doing video for this  
5 proceeding would have been difficult, due to the pandemic  
6 restrictions that are in place at the facility and the need to  
7 limit prisoner movements.

8                   And I can tell you, from personal experience, that  
9 with respect to requests for production, given the pandemic  
10 restrictions, those are taking months and months. And as I  
11 think the Court will get to, there was a feeling that this  
12 proceeding needed to take place expeditiously. And so having  
13 Mr. Morales in person would have delayed that unnecessarily.

14                  THE COURT: All right. Thank you. That's a helpful  
15 summary to put on the record.

16                  I find, with counsel, that conducting this proceeding  
17 in person in court is not reasonably available. I find that  
18 the teleconferencing mechanism is reasonably available, and I  
19 find that Mr. Morales is able to participate by  
20 teleconferencing means.

21                  Now, Ms. Weil, I need to make sure that your client is  
22 aware, nonetheless, that he has a right to have this person  
23 occur in person, even though, as Mr. Hartman says, it might be  
24 considerably delayed. Have you discussed with your client that  
25 he has a right to take place in court, with you by his side and

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1 with everyone else in court, the usual way?

2 MS. WEIL: Yes, your Honor. Mr. Morales is very happy  
3 to have this go forward faster and to do so remotely.

4 THE COURT: But are you confident that he understands  
5 that he has a right to have this proceed in person?

6 THE DEFENDANT: Yes, your Honor.

7 MS. WEIL: Yes, your Honor. He's aware that he can do  
8 it in person and that it would take substantially longer. And  
9 with both of those, that information, he heartily endorses  
10 moving forward remotely today.

11 THE COURT: All right. Let me confirm that with  
12 Mr. Morales.

13 Mr. Morales, do you understand that you have a right  
14 to have this resentencing conducted in person, meaning you and  
15 your lawyer would be by your side and the Court and everyone  
16 else here, we'd all be assembling in person in a courtroom?

17 THE DEFENDANT: Yes, your Honor. I understand that I  
18 could go to court, but I think because of the virus, we're  
19 doing it this way. That's what I think.

20 THE COURT: And you consent to doing that?

21 THE DEFENDANT: Yes, sir.

22 THE COURT: And you give up your right to being  
23 sentenced with Ms. Weil by your side?

24 THE DEFENDANT: Yes, sir.

25 THE COURT: All right. Very good. I find a knowing

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1 and voluntary waiver of the defendant's right to be present in  
2 person for the sentencing. I also am aware, from the  
3 communications my deputy has had with the parties, that it was  
4 not realistic in this circumstance to get a written waiver in  
5 advance, of Mr. Morales' right to be present for the  
6 sentencing.

7 Ms. Weil was just appointed, in effect, to substitute  
8 or stand in for Mr. Epstein. The defendant is in Florida.  
9 There are a variety of practical reasons why this was not  
10 possible.

11 The finding that I need to make is that this  
12 resentencing couldn't be delayed without harm to the interest  
13 of justice. The parties' joint letter, authored by the  
14 government, dated December 10 and docketed at docket 76,  
15 explains well why there is some urgency to getting the  
16 resentencing done, given the way in way the present sentencing  
17 posture and the confusion about the relationship between the  
18 sentence imposed here and a prior state sentence is impairing  
19 some of the latitude of prison authorities with respect to  
20 Mr. Morales.

21 So I certainly find in this case, more than many, that  
22 there is a clearly basis for finding that the proceeding can't  
23 be delayed without harm to the interests of justice.

24 Mr. Hartman, do I need to make any further CARES Act  
25 findings?

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1                   MR. HARTMAN: Not from the government's perspective,  
2 your Honor.

3                   THE COURT: All right. And Ms. Weil? Ms. Weil, do  
4 you agree?

5                   MS. WEIL: Sorry. I'm on mute. I apologize, your  
6 Honor. Yes, I agree.

7                   THE COURT: All right. The other preliminary matter I  
8 need to take up involves the new Federal Rule of Criminal  
9 Procedure 5(f). As the lawyers on the call are aware, in  
10 October, the President signed a bill called the Due Process  
11 Protection Act. It puts in place a new rule of criminal  
12 procedure 5(f).

13                  It requires the Court at an initial conference in the  
14 case, both to issue a written order and make an oral order  
15 advising the government of its obligations or reminding the  
16 government of its obligations under *Brady v. Maryland*.

17                  I treat that rule as applying as well to existing  
18 cases like this. We will be putting up a written order on the  
19 docket of the case. I'm about to issue the oral order, and  
20 Mr. Hartman, just be mindful at the end of what I'm about to  
21 say, I will ask you to confirm that the prosecution understands  
22 its obligations and will fulfill them.

23                  All right. I direct the prosecution to comply with  
24 its obligation under *Brady v. Maryland*, and its progeny, to  
25 disclose to the defense all information, whether admissible or

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1 not, that is favorable to the defendant, material either to  
2 guilt or to punishment and known to the prosecution.

3 Possible consequences for noncompliance may include  
4 dismissal of individual charges or the entire case, the  
5 exclusion of evidence, and professional discipline or court  
6 sanctions on the attorneys responsible.

7 I have entered, or will be entering, a written order  
8 more fully describing this obligation and the possible  
9 consequences of failing to meet it. I direct the prosecution  
10 to review and comply with that order.

11 Mr. Hartman, does the prosecution confirm that it  
12 understands its obligations and will fulfill them?

13 MR. HARTMAN: Yes, your Honor. We do.

14 THE COURT: Okay. Then I think we can turn to the  
15 business of resentencing, and before we get to that, there's a  
16 preliminary issue. I take it, as a formal matter, the real  
17 reason the resentencing is formally needed is that two of the  
18 counts to which Mr. Morales pled guilty to long ago have been  
19 legally imperiled by the Supreme Court's decision in Davis, and  
20 those are the firearms counts.

21 And while they do not make any difference in the  
22 bottom-line sentence imposed, which was pursuant to a motion  
23 that the government made at sentencing under section 3553, I  
24 take it, formally speaking, two of the many counts that  
25 Mr. Morales pled to are legally infirm at this point. Is that

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1 correct, Mr. Hartman?

2 MR. HARTMAN: Yes, your Honor. That's Counts Two and  
3 Five of the superseding information to which Mr. Morales pled  
4 guilty.

5 THE COURT: Right. And you are in agreement that  
6 those no longer can stand legally because of the later Davis  
7 decision?

8 MR. HARTMAN: Your Honor, I would say that, in this  
9 case, that is our position. There may be some arguments that  
10 could be raised, but we are waiving those arguments for these  
11 purposes.

12 THE COURT: And I take it, Ms. Weil, it goes without  
13 saying that the defense does not resist the government's  
14 application that the Court dismiss, as inconsistent with both  
15 Davis and the Second Circuit's ensuing decision in Barrett II,  
16 that the Court should needs to vacate the convictions on Counts  
17 Two and Five?

18 MS. WEIL: Correct, your Honor. We join the  
19 government's application.

20 THE COURT: All right. Then I will order that the  
21 convictions, solely on Counts Two and Five, be vacated.

22 That then requires the Court to reimpose sentence now  
23 on the remaining counts, One, Three, Four and Six, which are  
24 legally unimpaired. Let me try it this way.

25 The government is recommending that the Court reimpose

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1 exactly the same sentence as it did before, save that the Court  
2 would clarify the relationship, in effect, between the sentence  
3 in this case and a sentence in a long-ago grand larceny case  
4 that Mr. Morales had in State custody.

5 The reason for the clarification is at the time of  
6 sentencing, all concerned thought that that case had been --  
7 the sentence had been discharged. It turns out it had not been  
8 and, therefore, the Court needs to undertake an exercise I had  
9 no occasion to do beforehand, which is to clarify the  
10 relationship between the sentences. But otherwise, there's no  
11 new information anyone wants to bring to my attention in this  
12 sentencing. Is that correct, Mr. Hartman?

13 MR. HARTMAN: For the government, that's correct, your  
14 Honor.

15 THE COURT: Ms. Weil, is that correct from your  
16 perspective?

17 MS. WEIL: Correct for Mr. Morales also, your Honor.

18 THE COURT: Okay. And does everybody agree that the  
19 sentencing guidelines, pre-departure, that apply in this case  
20 are unchanged by the nicety that the two firearms counts had to  
21 be vacated; they don't affect the guidelines calculation here?  
22 Government, do you agree with that?

23 MR. HARTMAN: Yes, your Honor. Mr. Morales'  
24 guidelines were life; so I don't think that's changed by the  
25 vacatur of the firearms count.

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1                   THE COURT: All right. Very good. Then what I  
2 propose to do is as follows. I propose I'll give everybody an  
3 opportunity to be heard, but in the interests of expedition  
4 here, may we incorporate by reference the entirety of the  
5 sentencing transcript from the last time, up through the point  
6 at which I called on each lawyer to speak? Mr. Hartman?

7                   MR. HARTMAN: That is fine from the government's  
8 perspective, your Honor.

9                   THE COURT: All right. And, Ms. Weil, is that fine  
10 from the defense perspective?

11                   MS. WEIL: Absolutely.

12                   THE COURT: I think then the only thing I need to add,  
13 in terms of materials I reviewed, is that in addition to all  
14 the materials I reviewed last time, I've now re-reviewed the  
15 lengthy transcript of the sentencing proceeding, and I've  
16 reviewed the judgment that I imposed, and I've reviewed the  
17 government's letter of December 10th, 2020.

18                   With that, does the government wish to be heard then  
19 with respect to sentencing?

20                   MR. HARTMAN: Your Honor, I think we would rest on our  
21 December 10th letter. We're happy to answer any questions that  
22 the Court has.

23                   THE COURT: What is the form of words that you want me  
24 to use, Mr. Hartman, in the imposition of a sentence, to make  
25 sure that the sentence imposed here is sensitive to the needs

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1 identified in the December 10th letter?

2 MR. HARTMAN: Your Honor, my understanding from  
3 speaking to representatives of the New York State Department of  
4 Correction -- Corrections -- I always mess that up; I can't  
5 remember whether it's DOC or DOCS -- is that, in their view, if  
6 the Court indicates in the judgment that the term will run  
7 concurrently with the sentence imposed in *People v. Morales* and  
8 the citation that's referenced at the second paragraph of the  
9 last sentence in our letter of December 10th, that that will  
10 suffice for their purposes.

11 THE COURT: All right. One second. So I'm looking at  
12 your letter of December 10th and -- very good. It's the back  
13 part of the sentence in the paragraph that begins: "In light  
14 of *Davis and Barrett II*," you want me to use essentially the  
15 language that begins in the clause "for the Court to specify"?  
16 Or is there something more? I just want to make sure --

17 MR. HARTMAN: I'm sorry. Yes. No, no, no. I was  
18 looking at the first page, second paragraph, but I think it may  
19 appear again later on, "to run concurrently with the sentence  
20 imposed in...."

21 THE COURT: Yes, okay. Very good. I will do that.

22 Okay. By the way, I misspoke earlier. There are  
23 actually five counts on which the defendant needs to be  
24 resentenced. It's One -- forgive me. It is One, Three, Four,  
25 Six and Seven. I forgot to mention Seven a moment ago.

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1                   All right. Ms. Weil, do you wish to be heard?

2                   MS. WEIL: No, your Honor.

3                   THE COURT: And, Mr. Morales, is there anything you  
4 would like to say?

5                   THE DEFENDANT: I would like to say that I appreciate  
6 all y'all coming together to try to resolve this problem, and I  
7 don't have nothing to say, just I want my sentence to be  
8 correct.

9                   THE COURT: Very good. Well, we all do.

10                  All right. Then, is there any reason, Mr. Hartman,  
11 why sentence should not be imposed?

12                  MR. HARTMAN: No, your Honor.

13                  THE COURT: Any reason, Ms. Weil?

14                  MS. WEIL: None at all.

15                  THE COURT: All right. I incorporate by reference  
16 everything I said at the prior sentencing, and there's no need  
17 to revisit that. The elimination of the firearms counts  
18 doesn't change any of the underlying conduct, which is what was  
19 influential to the Court in determining the just and reasonable  
20 sentence.

21                  And, therefore, I will again impose exactly the same  
22 sentence as before, with only the following modifications. I'm  
23 again imposing a sentence of 168 months on Counts One, Three,  
24 Four and Six, and 60 months on Count Seven. All those terms to  
25 run concurrently. I'm not, of course, imposing a sentence on

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1 the two dismissed counts.

2 I impose the same terms of supervised release as  
3 before.

4 Everything is the same except for these two things.  
5 The special assessment now is \$500, \$100 per count, rather than  
6 \$700, \$100 per count.

7 And I am also going to put in the judgment the words  
8 that Mr. Hartman recommends, to wit, that I intend the federal  
9 term of imprisonment to run concurrently with the sentence  
10 imposed in People v. Morales, indictment No. 2055/2009,  
11 New York Supreme Court.

12 All right. With that, I guess I need to advise  
13 Mr. Morales of his right to appeal.

14 Mr. Morales, that is the sentence I have imposed.  
15 Improbable as it might seem, I do need to advise you of your  
16 appellate rights. To the extent you haven't given up your  
17 right to appeal your conviction and your sentence through your  
18 plea of guilty and the plea agreement you entered into with the  
19 government in connection with that plea, you have the right to  
20 appeal your conviction and your sentence.

21 If you're unable to pay for the cost of an appeal, you  
22 may apply for leave to appeal in forma pauperis. The notice of  
23 appeal must be filed within 14 days of the judgment of  
24 conviction.

25 All right. I take it there are no recommendations,

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1 Ms. Weil, you may want me to make to the Bureau of Prisons?

2 MS. WEIL: Just that they should expedite the  
3 processing of this resentencing as quickly as they can.

4 THE COURT: All right. Very good. I'll be happy to  
5 do that. We'll include that.

6 All right. Anything further from the government?

7 THE DEFENDANT: Excuse me.

8 MR. HARTMAN: Nothing from the government. Thank you.

9 THE DEFENDANT: Excuse me, your Honor. I would like  
10 to ask you, how will be the correctional facility would know  
11 that I will not have this detainer no more on me?

12 THE COURT: Ms. Weil, can you help me with that?

13 MR. HARTMAN: Judge, I can.

14 MS. WEIL: Mr. Hartman will make sure that this  
15 information gets to the people who need to know, and then  
16 Mr. Morales should be released very quickly.

17 THE COURT: All right.

18 MR. HARTMAN: Yes, your Honor. I can speak to that.  
19 My understanding is that once the Court enters judgment in this  
20 case, and as soon as I get the judgment, I can transmit that to  
21 the New York State Department of Corrections, and that will  
22 cause them to lift their detainer. And then once that happens,  
23 then the Bureau of Prisons can act as it feels appropriate with  
24 respect to Mr. Morales' custody status.

25 THE COURT: Very good. And Mr. Smallman also tells me

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1 that he will be e-mailing the marshals, as he does with every  
2 judgment, once I have signed it and that will help speed things  
3 along. And Mr. Smallman will copy the government on that to  
4 make it easier; so Mr. Hartman immediately has it in hand. And  
5 I'll ask him to copy it, of course, to Ms. Weil as well.

6 MR. HARTMAN: That would be great. Thank you, your  
7 Honor.

8 MS. WEIL: Thank you, your Honor.

9 THE COURT: All right. Very good. Okay. Anything  
10 further from any counsel?

11 MR. HARTMAN: Nothing for us, Judge. Thank you.

12 THE COURT: Ms. Weil, nothing from you?

13 MS. WEIL: Actually, I have a quick housekeeping  
14 question, your Honor. And that is, if it's okay to put the  
15 time for this call in under Mr. Epstein's name?

16 THE COURT: Yes, of course.

17 MS. WEIL: Okay. Great. So that makes everything  
18 easier.

19 THE COURT: Of course. And please send my best wishes  
20 to Mr. Epstein.

21 MS. WEIL: I will. Thank you very much.

22 THE COURT: Thank you all.

23 THE DEFENDANT: Excuse me? Something happen to  
24 Mr. Epstein that I don't know?

25 THE COURT: All right. Ms. Weil --

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1 MS. WEIL: He's not that well right now; so he  
2 couldn't make the call.

3 THE DEFENDANT: Okay. So just my wishes too for him  
4 too.

5 MS. WEIL: Thank you, Mr. Morales. I will do that.

6 THE COURT: Thank you, Ms. Weil. Thank you, all. We  
7 stand adjourned.

8 (Adjourned)

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